PATENT Customer No. 22,852 Attorney Docket No. 6556.0003-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re Application of:

John POLK

Group Art Unit: 2161

Application No.: 09/973,865

Examiner: Not yet assigned

Filed: October 11, 2001

For: METHOD AND APPARATUS FOR

> CHILD SUPPORT PAYMENT PROCESSING AND CHILD SUPPORT DISBURSEMENT

PROCESSING

SEP 23 2002

GROUP 3600

Commissioner for Patents Washington, DC 20231

Sir:

TRANSMITTAL LETTER

Enclosed is a Notice of Related Litigation and Information Disclosure Statement.

Please grant any extensions of time required to enter this response and charge any additional required fees to our deposit account 06-0916.

Dated: September 20, 2002

Robert E. Converse Jr.

Reg. No. 27,432

FINNEGAN **HENDERSON** FARABOW GARRETT& DUNNER LLP



Commissioner for Patents Washington, DC 20231

PATENT Customer No. 22,852 Attorney Docket No. 06556.0003-03

THE UNITED STATES PATENT AND TRADEMARK OFFICE

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John POLK) Group Art Unit: 2161
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GROUP 3600

Sir:

NOTICE OF RELATED LITIGATION

Pursuant to 37 C.F.R. § 1.56, as clarified by § 2001.06(c) of the Manual of Patent Examining Procedure, Applicant hereby notifies the Examiner that U.S. Patent No. 6,119,107 ("the '107 patent") and U.S. Patent No. 5,946,669 ("the '669 patent") are the subject of a litigation in the United States District Court for the District of Minnesota (Civ. Action No. 02-CV-1231 DWF/SRN) between plaintiff Pay Child Support Online Inc. against defendant ACS State & Local Solutions, Inc. The plaintiff's Complaint is attached as Exhibit A. Defendant (and counter-plaintiff) ACS State & Local Solutions, Inc.'s Answer and Counterclaim is attached as Exhibit B.

A brief description of the familial relationship between this application and the patents-in-suit follows. Application no. 08/941,187 ("the '187 application"), filed

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September 30, 1997, matured into the '669 patent. Divisional application no. 09/003,941 ("the '941 application"), filed January 7, 1998, resulted from the '187 application and matured into the '107 patent. Application no. 09/413,862 ("the '862 application"), filed October 7, 1999, is a continuation from the '941 application. The instant application is a continuation of the '862 application.

In this dispute, the plaintiff has alleged <u>inter alia</u>, albeit without support, that the '107 and the '669 patents are invalid under § 102(a) and (b), although it is not clear from the Complaint what particular art the plaintiff relies upon. Further, the plaintiff alleges, without support, that the '107 and the '669 patents are invalid under § 102(f) "as the transfer of Child Support Payments Nationally would not have been possible without the creation of the Child Support Application Banking Convention developed with Federal Funds and adopted by the National Automated Clearing House Association and DISA." The plaintiff also alleges, without support, that the '107 and the '669 patents are invalid under § 102(g) because "the claims of the patent were already in use in this country by others, including multiple state agencies."

The plaintiff further alleges, without support, that the invention is not unique and is obvious to all skilled in the art. However, the Complaint does not specifically identify any basis for this allegation.

Finally, the Complaint alleges, again without support, that the '107 and the '669 patents are unenforceable due to inequitable conduct due to an intentional failure to disclose pertinent information to the U.S. Patent and Trademark Office during prosecution of the '941 and '187 applications, as well as a bald complaint of patent misuse. The allegedly pertinent information, which defendant (and counter-plaintiff) was

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allegedly aware of and did not disclose, is being submitted in an Information Disclosure

Statement filed concurrently with this Notice of Related Litigation.

In the counterclaim, defendant/counter-plaintiff alleges, among other causes of action, willful infringement of the '669 patent and willful infringement of the '107 patent by plaintiff/counter-defendant. Notably, the plaintiff/counter-defendant admits infringement in the Complaint.

Applicant will continue to keep the PTO informed of further developments in this related litigation.

If there is any fee due in connection with the filing of this Notice, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Dated: September 20, 2002

Robert E. Converse, Jr.

Reg. No. 27,432

FINNEGAN HENDERSON FARABOW GARRETT& DUNNER LLP